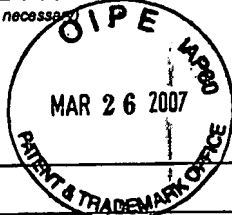


Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

| | |
|----------------------|-------------------|
| Application Number | 10/750,052 |
| Filing Date | December 30, 2003 |
| First Named Inventor | Grove, Brian |
| Group Art Unit | 3625 |
| Examiner Name | Garg, Yogesh |

Sheet 1 of 8

Attorney Docket No: 2043.036US1

US PATENT DOCUMENTS

| Examiner Initial * | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Filing Date If Appropriate |
|--------------------|---------------------|------------------|---|----------------------------|
| 9 | US-2005/0131809A1 | 06/16/2005 | Watt, II, W. R., et al. | 12/11/2003 |
| | US-3,573,747 | 04/06/1971 | Adams, Charles W., et al. | 02/24/1969 |
| | US-3,581,072 | 05/25/1971 | Nymeyer, Frederick | 03/28/1968 |
| | US-4,071,697 | 01/31/1978 | Bushnell, Nolan | 10/18/1976 |
| | US-4,412,287 | 10/25/1983 | Braddock III, Walter D. | 09/15/1982 |
| | US-4,674,044 | 04/16/1987 | Kalmus, Leslie P., et al. | 09/30/1985 |
| | US-4,677,552 | 06/30/1987 | Sibley Jr., H. C. | 10/05/1984 |
| | US-4,789,928 | 12/06/1988 | Fujisaki, Masataka | 01/30/1987 |
| | US-4,799,156 | 01/17/1989 | Shavit, Eyal, et al. | 10/01/1986 |
| | US-4,823,265 | 04/18/1989 | Nelson, George E. | 05/11/1987 |
| | US-4,845,625 | 07/04/1989 | Stannard, Louis | 04/29/1987 |
| | US-4,864,516 | 09/05/1989 | Gaither, William D., et al. | 03/10/1986 |
| | US-4,903,201 | 02/20/1990 | Wagner, Susan W. | 11/03/1983 |
| | US-5,063,507 | 11/05/1991 | Lindsey, James D., et al. | 09/14/1990 |
| | US-5,077,665 | 12/31/1991 | Silverman, David L., et al. | 05/25/1989 |
| | US-5,101,353 | 03/31/1992 | Lupien, William A., et al. | 05/31/1989 |
| | US-5,136,501 | 08/04/1992 | Silverman, David L., et al. | 05/26/1989 |
| | US-5,168,446 | 12/01/1992 | Wiseman, James W. | 05/23/1989 |
| | US-5,258,908 | 11/02/1993 | Hartheimer, Richard, et al. | 11/02/1990 |
| | US-5,297,031 | 03/22/1994 | Gutterman, Burton J., et al. | 03/06/1990 |
| | US-5,297,032 | 03/22/1994 | Trojan, Donald R., et al. | 02/01/1991 |
| | US-5,305,200 | 04/19/1994 | Hartheimer, Richard, et al. | 11/02/1990 |
| | US-5,310,997 | 05/10/1994 | Roach, J. V., et al. | 09/10/1992 |
| | US-5,325,297 | 06/28/1994 | Bird, Susan W., et al. | 06/25/1992 |
| | US-5,329,589 | 07/12/1994 | Fraser, Alexander G., et al. | 06/03/1993 |
| | US-5,375,055 | 12/20/1994 | Togher, Michael, et al. | 02/03/1992 |
| | US-5,394,324 | 02/28/1995 | Clearwater, Scott H. | 12/08/1993 |
| | US-5,426,281 | 06/20/1995 | Abecassis, Max | 10/29/1993 |
| | US-5,428,778 | 06/27/1995 | Brookes, Cyril | 09/13/1994 |
| | US-5,485,510 | 01/16/1996 | Colbert, Raymond O. | 09/01/1994 |
| | US-5,553,145 | 09/03/1996 | Micali, Silvia | 08/04/1995 |
| | US-5,557,728 | 09/17/1996 | Garrett, Michael J., et al. | 11/22/1994 |
| | US-5,598,557 | 01/28/1997 | Doner, Christopher G., et al. | 09/22/1992 |
| | US-5,629,982 | 05/13/1997 | Micali, Silvia | |
| | US-5,640,569 | 06/17/1997 | Miller, M. S., et al. | 04/28/1995 |
| | US-5,657,389 | 08/12/1997 | Houvener, Robert C. | 05/08/1995 |
| | US-5,664,111 | 09/02/1997 | Nahan, Kenneth, et al. | 02/16/1994 |
| | US-5,664,115 | 09/02/1997 | Fraser, Richard | 06/07/1995 |
| | US-5,689,652 | 11/18/1997 | Lupien, William A., et al. | 04/27/1995 |
| 9 | US-5,694,546 | 12/02/1997 | Reisman, Richard R. | 05/31/1994 |

EXAMINER

GARG

DATE CONSIDERED

6/13/07

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | 10/750,052 |
| Filing Date | December 30, 2003 |
| First Named Inventor | Grove, Brian |
| Group Art Unit | 3625 |
| Examiner Name | Garg, Yogesh |

Sheet 2 of 8

Attorney Docket No: 2043.036US1

US PATENT DOCUMENTS

| Examiner Initial * | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Filing Date If Appropriate |
|--------------------|---------------------|------------------|---|----------------------------|
| 9 | US-5,706,457 | 01/06/1998 | Dwyer, Douglas A., et al. | 06/07/1995 |
| | US-5,710,889 | 01/20/1998 | Clark, Barry A., et al. | 06/07/1995 |
| | US-5,715,314 | 02/03/1998 | Payne, Andrew C., et al. | 10/24/1994 |
| | US-5,715,402 | 02/03/1998 | Popolo, Carl A. | 11/09/1995 |
| | US-5,717,989 | 02/10/1998 | Tozzoli, Guy F., et al. | 10/13/1994 |
| | US-5,727,165 | 03/10/1998 | Ordish, Christopher J., et al. | 12/27/1994 |
| | US-5,760,917 | 06/02/1998 | Sheridan, K. H. | 09/16/1996 |
| | US-5,761,655 | 06/02/1998 | Hoffman, Michael | 03/10/1994 |
| | US-5,771,291 | 06/23/1998 | Newton, Farrell, et al. | 12/11/1995 |
| | US-5,771,380 | 06/23/1998 | Tanaka, Tetsuo, et al. | 10/07/1996 |
| | US-5,774,873 | 06/30/1998 | Berent, Thomas G., et al. | 03/29/1996 |
| | US-5,794,219 | 08/11/1998 | Brown, S. J. | 02/20/1996 |
| | US-5,799,285 | 08/25/1998 | Klingman, Edwin E. | 08/30/1996 |
| | US-5,803,500 | 09/08/1998 | Mossberg, Bjorn E. | 03/27/1997 |
| | US-5,818,914 | 10/06/1998 | Fujisaki, Kiyotaka | 03/27/1995 |
| | US-5,826,244 | 10/20/1998 | Huberman, Bernardo A. | 08/23/1995 |
| | US-5,835,896 | 11/10/1998 | Fisher, Alan S., et al. | 03/29/1996 |
| | US-5,845,265 | 12/01/1998 | Woolston, Thomas G. | 11/07/1995 |
| | US-5,845,266 | 12/01/1998 | Lupien, William A., et al. | 12/12/1995 |
| | US-5,848,139 | 12/08/1998 | Grover, Wayne | 11/19/1996 |
| | US-5,850,442 | 12/15/1998 | Muftic, S. | 03/26/1996 |
| | US-5,873,069 | 02/16/1999 | Reuhl, Douglas G., et al. | 10/13/1995 |
| | US-5,884,056 | 03/16/1999 | Steele, David A. | 12/28/1995 |
| | US-5,890,138 | 03/30/1999 | Godin, Paul B., et al. | 08/26/1996 |
| | US-5,905,975 | 05/18/1999 | Ausubel, Lawrence M. | 01/02/1997 |
| | US-5,922,074 | 07/13/1999 | Richard, Patrick, et al. | 02/28/1997 |
| | US-6,006,201 | 12/21/1999 | Berent, Thomas, et al. | 07/03/1997 |
| | US-6,026,383 | 02/15/2000 | Ausubel, Lawrence | 01/04/1996 |
| | US-6,035,402 | 03/07/2000 | Vaeth, J. S., et al. | 12/20/1996 |
| | US-6,047,264 | 04/04/2000 | Fisher, Alan S., et al. | 10/08/1996 |
| | US-6,085,176 | 07/04/2000 | Woolston, Thomas G. | 03/08/1999 |
| | US-6,104,815 | 08/15/2000 | Alcorn, Allan E., et al. | 01/09/1998 |
| | US-6,161,099 | 12/12/2000 | Harrington, Myles, et al. | 05/29/1998 |
| | US-6,178,408 | 01/23/2001 | Copple, Brenda E., et al. | 07/14/1999 |
| | US-6,202,051 | 03/13/2001 | Woolston, T. G. | 02/19/1999 |
| | US-6,243,691 | 06/05/2001 | Fisher, Alan S., et al. | 03/29/1996 |
| | US-6,266,651 | 07/24/2001 | Woolston, Thomas G. | 02/19/1999 |
| | US-6,266,652 | 07/24/2001 | Godin, Paul B., et al. | 03/09/1999 |
| 9 | US-6,430,545 | 08/06/2002 | Honarvar, L., et al. | 12/21/1998 |
| | US-6,449,601 | 09/10/2002 | Friedland, N. S., et al. | 12/30/1998 |

EXAMINER

GARG

DATE CONSIDERED

6/13/07

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * Applicant's unique citation designation number (optional) * Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | 10/750,052 |
| Filing Date | December 30, 2003 |
| First Named Inventor | Grove, Brian |
| Group Art Unit | 3625 |
| Examiner Name | Garg, Yogesh |

Sheet 3 of 8

Attorney Docket No: 2043.036US1

US PATENT DOCUMENTS

| Examiner Initial * | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Filing Date If Appropriate |
|--------------------|---------------------|------------------|---|----------------------------|
| 9 | US-7,003,485 | 02/21/2006 | Young, D. | 05/05/2000 |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Foreign Document No | Publication Date | Name of Patentee or Applicant of cited Document | T ² |
|--------------------|---------------------|------------------|---|----------------|
| 9 | FR-2658635 | 08/23/1991 | Mourad, Assabgui | |
| | NL-9300266 | 02/10/1993 | Hubertus, Theodoor, et al. | |
| | WO-0032088A1 | 11/20/2000 | Simonsen, Jan, et al. | |
| | WO-9215174 | 09/03/1992 | Grusin, Hillard, et al. | |
| | WO-9634356 | 10/31/1996 | Woolston, Thomas | |
| | WO-9737315A1 | 10/09/1997 | Fisher, Alan S., et al. | |
| | WO-9918510A1 | 08/12/1999 | Bamford, Roger J., et al. | |
| 9 | WO-9963461A1 | 12/09/1999 | Dinwoodie, David L. | |

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------|----------------------|---|----------------|
| 9 | | "Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technical Disclosure Bulletin</u> , Vol. 39, No. 3, (March 1996), 363-366 | |
| | | "Onsale Joins Fray as Online Shopping Picks Up Speed: Internet Booms", <u>Computer Reseller News</u> , (June 5, 1995), 73 | |
| | | "Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for The Computer Museum in Boston", <u>Business Wire</u> , Dialog Web. 0489267 BW0022, (May 24, 1995), 3 pages | |
| | | ANAND, R., "Research Report - The Electronic Flea Market", <u>Computer Science</u> , RC 19678 (87230), IBM Research Division, (7/28/94), 1-18 | |
| | | ANDERSON, SCOTT, et al., "The Efficiency of Experimental Asset Markets: Empirical Robustness and Subject Sophistication", <u>Research in Experimental Economics</u> , The Journal of Economic Education, transferred in, (Fall 1993), 107-190 | |
| | | BANATRE, MICHAEL, "Distributed Auction Bidding System", <u>Computer Communications</u> , Vol. 4, No. 4, (August 1981), 179-186 | |
| | | BANATRE, J-P, "The Design and Building of Enchere, A Distributed Electronic Marketing System", <u>Communications of the Association for Computing Machinery</u> , New York, NY, US, Vol. 29 No. Jan. 1986, XP000002077, (Jan 1986), 9-29 | |
| 9 | | BOOKER, ELLIS, "Mega Real Estate Auction Counts on Imaging", <u>Computerworld</u> , (12/7/82), 20 | |

EXAMINER

GARG

DATE CONSIDERED

6/13/07

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | 10/750,052 |
| Filing Date | December 30, 2003 |
| First Named Inventor | Grove, Brian |
| Group Art Unit | 3625 |
| Examiner Name | Garg, Yogesh |

Sheet 4 of 8

Attorney Docket No: 2043.036US1

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T* |
|--------------------|----------|---|----|
| 9 | | BUNKER, TED , "How Auction Technology Sped and Enhanced Sale of Radio Licenses", <u>Copyright 1995 Investor's Business Daily, Inc., Investor's Business Daily, (2/24/95), 3 pages</u> | |
| | | BUSINESS WEEK, "Auctioning off Relics of the Computer Age", <u>Business Week, (4/11/94), 1 page</u> | |
| | | BUSINESS WIRE, INC., "The Computer Museum Brings Auction Block to Cyberspace in First Internet Auction", <u>Business Wire, Inc., (3/14/94), 2 pages</u> | |
| | | CASSINI RESOURCE EXCHANGE, "Cassini Resource Exchange User's Guide", <u>California Institute of Technology, (April 1993),</u> | |
| | | CLEMONS, E. , et al., "Evaluating the prospects for alternative electronic securities markets", <u>Proceedings of the Twelfth International Conference on Information Systems (ICIS), (1991), 53-64</u> | |
| | | COHEN, DANNY , "Electronic Commerce", <u>University of Southern California, Information Sciences Institute, ISI Research Report, ISI/RR-89-244, (Oct 1989), 1-19, 31-33</u> | |
| | | COMPUTER LITERACY BOOK BULLETIN, "First Internet Auction", <u>Computer Literacy Book Bulletin, 1 page</u> | |
| | | COMPUTERWORLD, "Computer Museum hosts Internet Auction", <u>Computerworld, (shares page with another reference), (3/28/94), 1 page</u> | |
| | | COURT OF APPEALS FOR THE FEDERAL, "In re Schrader, 30 USPQ 2d, 1455-1462", <u>Court of Appeals for the Federal Circuit, claim 1 of application at issue cited at column 2, (4/13/94), 1456-1462</u> | |
| | | DYSON, ESTHER , "Information, Bid and Asked", <u>Forbes, (8/29/90), 92</u> | |
| | | EDELL, RICHARD , "Billing Users and Pricing for TCP", <u>IEEE Journal on Selected Areas in Communications, Vol. 13, No. 7, (Sept. 1995), 1162-1175</u> | |
| | | FORSYTHE, ROBERT , "The Iowa Presidential Stock Market: A Field Experiment", <u>Research in Experimental Economics, Vol. 4, ISBN: 0-89232-652-2, (1991), 1-43</u> | |
| | | FRANKLIN, MATTHEW , "The Design and Implementation of a Secure Auction Service", <u>1995 IEEE Symposium on Security and Privacy, Oakland, California May 8-10, 1995, transferred in, (1995), 2-14</u> | |
| | | FREEMAN, BRIAN , "Hosting Services-Linking the Information Warehouse to the Information Consumer", <u>IEEE Spring Compcon, transferred in, (1994), 165-171</u> | |
| | | GRAHAM, I , "The Emergence of Linked Fish Markets in Europe", <u>EM - Electronic Commerce in Europe. EM - Electronic Markets, 8(2), Focus Theme, (July 1998), 29-32</u> | |
| | | GRAVES, ROBERT , et al., "An Auction method for Course Registration", <u>INTERFACES 23:5, Sept. Oct., 1993, transferred in, (1993), 81-92</u> | |
| SL | | HARRISON SCOTT PUBLICATIONS, "Unusual Farmland Auction Set", <u>Liquidation Alert, Harrison Scott Publications, Inc., (3/28/94), 2 pages</u> | |

EXAMINER

GAR G

DATE CONSIDERED

6/13/07

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional) Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | 10/750,052 |
| Filing Date | December 30, 2003 |
| First Named Inventor | Grove, Brian |
| Group Art Unit | 3625 |
| Examiner Name | Garg, Yogesh |

Sheet 5 of 8

Attorney Docket No: 2043.036US1

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|-----------------------|--------------------------|---|----------------|
| g | | HARVARD BUSINESS SCHOOL, "Aucnet: The Story Continues", Harvard Business School 2-195-122, (1/17/95), 1 page | |
| | | HAUSER, R., "Anonymous Delivery of Goods in Electronic Commerce", IBM Technical Disclosure Bulletin, 39(3), (March 1996), 363-366 | |
| | | HELINSKI, PAUL, "Automating Web-Site Maintenance", Part 2, Perl-based tools to manage your Web site, Web Techniques, ISSN 1086-556XP002048313, (12/1996), 75-76, 78 | |
| | | HENG-WAH, CHOY, "Electronic Stamp Auction", Google Groups View Thread, (6/17/94), 1 page | |
| | | HESS, C M., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", MIS Quarterly, 18(3), (Sept., 1994), 251-274 | |
| | | JACKSON, ED, "Too much commercial offers", Google Groups, (3/13/96), 2 pages | |
| | | JOHNSON, ALONZO, "Multiple Unit Double Auction User's Manual", Social Science Working Paper 676, Division of the Humanities and Social Sciences California Institute of Technology, June 1988, Revised September 1989, (Sept. 1989), 1-9 | |
| | | KAHLER, TED, "Betting, Bribery and Bankruptcy - A Simulated Economy that Learns to Predict", IEEE Spring Compcon, Research Report, (1989), 357-361 | |
| | | KLEIN, STEFAN, "Introduction to Electronic Auctions", EM - Electronic Auctions. EM - Electronic Markets, Vol. 7, No. 4, Focus Theme, (Dec., 1997), 3-6 | |
| | | LEDYARD, JOHN, "Using Computerized Exchange Systems to Solve an Allocation Problem in Project Management", Journal of Organizational Computing, (1994), 271-296 | |
| | | LEE, H G., "AUCNET: Electronic Intermediary for Used-Car Transactions", EM - Electronic Auctions. EM - Electronic Markets, 7(4), Focus Theme, (Dec., 1997), 24-28 | |
| | | LEE, H. G., "Electronic brokerage and electronic auction: the impact of IT on market structures", Proceedings of the Twenty-Ninth Hawaii International Conference on System Sciences, Volume 4, (Jan. 3-6, 1996), 397-406 | |
| | | LITTLEFAIR, T., "Homelink: a unique service", Computer bulletin, (June 1986), 12-13 | |
| | | MALONE, THOMAS, "Electronic Markets and Electronic Hierarchies", Communications of the ACM, 30(6), (June 1987), 484-497 | |
| | | MARDESICH, J., "Onsale Takes Auction Gavel Electronic", Computer Reseller News, 691, Site offers clearance for end-of-life Products, (July 8, 1996), 2 | |
| g | | MARDESICH, JODI, "Site Offers Clearance for End-of-Life Products - Onsale Takes Auction Gavel Electronic", Computer Reseller News, (July 8, 1996), 2 pages | |

EXAMINER

GARG

DATE CONSIDERED

6/13/07

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | 10/750,052 |
| Filing Date | December 30, 2003 |
| First Named Inventor | Grove, Brian |
| Group Art Unit | 3625 |
| Examiner Name | Garg, Yogesh |

Sheet 6 of 8

Attorney Docket No: 2043.036US1

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|-----------------------|-------------------------|--|----------------|
| 92 | | MASKERY, MARYANN, "Car Auction Reaches into Space", <u>Automotive News</u> , transferred in, (11/25/91), 3 pages | |
| | | MASSIMB, MARCEL, "Electronic Trading, Market Structure and Liquidity", <u>Financial Analysts Journal</u> , 50(1), (January/February 1994), 39-50 | |
| | | MCCABE, KEVIN, "Smart Computer-Assisted Markets", <u>Science</u> , Vol. 254, transferred in, (10/25/91), 534-538 | |
| | | MCCARTHY, GENE, "The Electronic Auctioning of Servicing", <u>Mortgage Banking</u> , (Dec. 1994), 96-98 | |
| | | MCCONKEY, CAREY, "Company Launches Reverse Auction Site", <u>The State News</u> , (10/25/99), | |
| | | NEO, BOON S., "The implementation of an electronic market for pig trading in Singapore", <u>Journal of Strategic Information Systems</u> , 1(5), (1992), 278-288 | |
| | | O'DELL, JOHN, "Automobiles", <u>The Los Angeles Times</u> copyright, <u>The Times Mirror Company; Los Angeles Times 1994 All Rights Reserved</u> , (8/23/94), 13-14 | |
| | | PC WEEK, "Electronic Bonds Auction", <u>PC Week</u> , Volume 6, Number 22, (6/5/89), 68 | |
| | | POPE, CHRISTINA, "What Am I Bid? Check the Modem: Electronic Auctions Come To Real Estate", <u>The Greater Baton Rouge Business Report</u> , Volume 11, Number 26, (7/27/93), 27 | |
| | | POST, D L., "Application of auctions as a pricing mechanism for the interchange of electric power", <u>IEEE Transactions on Power Systems</u> , 10(3), (Aug., 1995), 1580-1584 | |
| | | PREIST, CHRIS, "Adaptive Agents in a persistent shout double auction", <u>International conference on Information and Computation Economies, Proceedings of the first international conference on Information and computation economies, Octo. 25-28, 1998, (1998)</u> , 11 - 18 | |
| | | RECK, MARTIN, "Formally specifying an automated trade execution system", <u>Journal of Systems and Software</u> , 21(3), (June, 1993), 245-252 | |
| | | RECK, MARTIN, "Trading-Process Characteristics of Electronic Auctions", <u>EM - Electronic Auctions. EM - Electronic Markets</u> , 7(4), Focus Theme, (Dec., 1997), 17-23 | |
| | | RECK, MARTIN, "Types of Electronic Auctions", <u>ST. GALLEN, Hochschule</u> , [no date; ref. at end of article M. Reck: "The Identification and Formal Specification of Electronic Markets Types," paper presented at the IclS Doctoral Consortium, Dalas (TX), 1992], 236-243 | |
| | | ROCKOFF, TODD, "Design of an Internet-based system for remote Dutch auctions", <u>Internet Research: Electronic Networking Applications and Policy</u> , 5(4), (Jan. 1, 1995), 10-16 | |
| 92 | | SAMMER, HARALD, "Online Stock Trading Systems: Study of an Application", <u>IEEE Spring Compcon</u> , (Spring 1987), 161-162 | |

EXAMINER

GARG

DATE CONSIDERED

6/13/07

Substitute Disclosure Statement Form (PTO-1449)

** EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 909. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

| | |
|-----------------------------|-------------------|
| Application Number | 10/750,052 |
| Filing Date | December 30, 2003 |
| First Named Inventor | Grove, Brian |
| Group Art Unit | 3625 |
| Examiner Name | Garg, Yogesh |

Sheet 7 of 8

Attorney Docket No: 2043.036US1

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------|----------|---|----------------|
| 92 | | SCHMID, B F., "Electronic Markets - The Development of Electronic Commerce, Electronic Markets", Newsletter of the Competence Centre, EM - The Development of Electronic Commerce, Electronic Markets, No. 9/10, (Oct. 1993), 3-4 | |
| | | SCHMITZ, TOM, "California Computer Auctions No Boon for Bargain Hunters", San Jose Mercury News, California, transferred in, (9/13/93), 4 pages | |
| | | SHARNOFF, DAVID, "Email Auction Server", http://www.idiom.com/~muir/auction.html , (1994), 1 Page | |
| | | SHULMAN, RICHARD, "VICS and quick response: priority issued for mass merchandisers.", Supermarket Business, v44, n10, (Oct 1989), 13-14 | |
| | | SIEGMANN, KEN, "Nowhere to Go but Up", PC Week, 12(42), (Oct. 23, 1995), A5(1), 1-3 | |
| | | SIRBU, MARVIN, et al., "NetBill: An Internet Commerce System Optimized for Network Delivered Services", IEEE 1995 Spring Compcon, transferred in, (1995), 20-25 | |
| | | SMITH, CHARLES W., "Auctions, The Social Construction of Value", University of California Press, Berkeley, (1990), | |
| | | SMITH, ROD, "DTN, Superior to Offer Daily Electronic Auction", Feedstuffs, Business Report Section, (February 8, 1993), 1 Pages | |
| | | TENENBAUM, JAY, "CommerceNet: Spontaneous Electronic Commerce on the Internet", 1995 IEEE Spring Compcon, (Spring 1995), 38-43 | |
| | | THE COMPUTER MUSEUM, "The Computer Museum Brings Auction Block to Syberspace in First Internet Auction", The Computer Museum, Press Release, (3/11/94), 1 page | |
| | | THE COMPUTER MUSEUM AUCTION, "First-ever Internet Auction Produces Results for the Computer Museum", Press release, (duplicate releases), (4/28/94), 4 pages | |
| | | THOMAS, CHARLES, "Automotive News", Copyright 1994 Crain Communications Inc., (9/19/94), 11-12 | |
| | | TJOSTHEIM, INGVAR, "A case study of an on-line auction for the World Wide Web", Norwegian Computing Center (NR), www.nr.no/gem/elcom/publikasjoner/enter98e.html , (1997), 1-10 | |
| | | TONER, ANN, "Hogs Sold in High-Tech Market Bidders Linked Through Satellite", Omaha World-Herald Company, (9/11/94), 4 pages | |
| | | TURBAN, E, "Auctions and Bidding on the Internet: An Assessment", EM - Electronic Auctions, EM - Electronic Markets, 7(4), Focus Theme, (Dec., 1997), 7-11 | |
| | | TUROFF, MURRAY, "An Electronic Information Marketplace", North-Holland Computer Networks and ISDN Systems 9, transferred in, (1985), 79-90 | |
| 92 | | UNDERWOOD, CHRIS, "A Multiple Round Ascending Auction Process Suitable for the Disposal of Radio Spectrum in New Zealand", (01/17/1996), | |

EXAMINER

GARG

DATE CONSIDERED

6/13/07

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 806. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete If Known

Application Number 10/750,052

Filing Date December 30, 2003

First Named Inventor Grove, Brian

Group Art Unit 3625

Examiner Name Garg, Yogesh

Sheet 8 of 8

Attorney Docket No: 2043.036US1

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|-----------------------|--------------------------|---|----------------|
| 9 | | VAN HECK, E. , "Experiences Electronic Auctions in the Dutch Flower Industry", Focus Theme, EM - Electronic Auctions. EM - Electronic Markets, 7(4), (1997),29-34 | |
| | | VARIAN, HAL , "Economic Mechanism Design for Computerized Agents", USENIX Association Proceedings of the First USENIX Workshop of Electronic Commerce, New York, New York Jul. 11-12, 1995, transferred in,(July 1995),13- 21 | |
| | | WARBELOW, A , "Aucnet: TV Auction Network System", Harvard Business School Case/Study, HBVR#9-190-001, (April 1996),1-16 | |
| | | WEISS, AARON , "The Virtual Flea Market", Internet World, (June 1995),54-57 | |
| | | WILLIAMS, ARLINGTON , et al., "Computerized Laboratory Exercises for Microeconomics Education: Three Applications Motivated by Experimental Economics", The Journal of Economic Education, Vol. 24, No. 4, transferred in,(Fall 1993),13 pages | |
| 9 | | ZWASS, VLADIMIR , "Electronic Commerce: Structures and Issues", International Journal of Electronic Commerce, 1(1), www.cba.bgsu.edu,(Fall 1996),3-23 | |

EXAMINER

GARG

DATE CONSIDERED

6/18/07

Substitute Disclosure Statement Form (PTO-1448)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached